PATENT

Preliminary Classification:

Proposed Class:

Subclass:

NOTE: "All applicants are requested to include a preliminary classification on newly filed patent applications. The preliminary classification, preferably class and subclass designations, should be identified in the upper right-hand comer of the letter of transmittal accompanying the application papers, for example 'Proposed Class 2, subclass 129.' " M.P.E.P. § 601, 7th ed.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Box Patent Application **Assistant Commissioner for Patents** Washington, D.C. 20231

NEW APPLICATION TRANSMITTAL

Transmitted herewith for filling is the patent application of

Inventor(s):

Victor Fielding

WARNING: 37 C.F.R. § 1.41(a)(1) points out:

"(a) A patent is applied for in the name or names of the actual inventor or inventors.

"(1) The inventorship of a nonprovisional application is that inventorship set forth in the oath or declaration as prescribed by § 1.63, except as provided for in § 1.53(d)(4) and § 1.63(d). If an oath or declaration as prescribed by § 1.63 is not filed during the pendency of a nonprovisional application, the inventorship is that inventorship set forth in the application papers filed pursuant to § 1.53(b), unless a petition under this paragraph accompanied by the fee set forth in § 1.17(i) is filed supplying or changing the name or names of the inventor or inventors."

For (title):

CONNECTOR ADAPTOR

CERTIFICATION UNDER 37 C.F.R. § 1.10*

(Express Mail label number is mandatory.) (Express Mail certification is optional.)

I hereby certify that this New Application Transmittal and the documents referred to as attached therein are being JUNE 2001 ., in an envelope deposited with the United States Postal Service on this date _ as "Express Mail Post Office to Addressee," mailing Label Number $\underline{EL779650857U}$ dressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231

(type or print page of person mailing paper)

Signature of person mailing paper

WARNING: Certificate of mailing (first class) or facsimile transmission procedures of 37 C.F.R. § 1.8 cannot be used to obtain a date of mailing or transmission for this correspondence.

*WARNING: Each paper or fee filed by "Express Mail" must have the number of the "Express Mail" mailing label placed thereon prior to mailing. 37 C.F.R. § 1.10(b).

"Since the filing of correspondence under § 1.10 without the Express Mail mailing label thereon is an oversight that can be avoided by the exercise of reasonable care, requests for waiver of this requirement will not be granted on petition." Notice of Oct. 24, 1996, 60 Fed. Reg. 56,439, at 56,442.

(New Application Transmittal [4-1]—page 1 of 11)

T.

1. Type of Application

This new application is for a(n)

(check one applicable item below)

[2	3	Original (nonprovisional)
]	Design
		☐ Plant
WARNII	NG:	Do not use this transmittal for a completion in the U.S. of an International Application under 3: U.S.C. § 371(c)(4), unless the International Application is being filed as a divisional, continuation or continuation-in-part application.
WARNII	NG:	Do not use this transmittal for the filing of a provisional application.
NOTE:	TR	ne of the following 3 items apply, then complete and attach ADDED PAGES FOR NEW APPLICATION ANSMITTAL WHERE BENEFIT OF A PRIOR U.S. APPLICATION CLAIMED and a NOTIFICATION PARENT APPLICATION OF THE FILING OF THIS CONTINUATION APPLICATION.
]	Divisional.
]	Continuation.
]	Continuation-in-part (C-I-P).
Ren	efi	t of Prior U.S. Application(s) (35 U.S.C. 88 119(e), 120, or 121)

enefit of Prior U.S. Application(s) (35 U.S.C. 99 119(e), 120, or 121)

NOTE: A nonprovisional application may claim an invention disclosed in one or more prior filed copending nonprovisional applications or copending international applications designating the United States of America. In order for a nonprovisional application to claim the benefit of a prior filed copending nonprovisional application or copending international application designating the United States of America, each prior application must name as an inventor at least one inventor named in the later filed nonprovisional application and disclose the named inventor's invention claimed in at least one claim of the later filed nonprovisional application in the manner provided by the first paragraph of 35 U.S.C. § 112. Each prior application must also be:

- (i) An international application entitled to a filing date in accordance with PCT Article 11 and designating the United States of America; or
 - (ii) Complete as set forth in § 1.51(b); or
- (iii) Entitled to a filing date as set forth in § 1.53(b) or § 1.53(d) and include the basic filing fee set forth in § 1.16; or
- (iv) Entitled to a filing date as set forth in § 1.53(b) and have paid therein the processing and retention fee set forth in § 1.21(I) within the time period set forth in § 1.53(f).

37 C.F.R. § 1.78(a)(1).

NOTE: If the new application being transmitted is a divisional, continuation or a continuation-in-part of a parent case, or where the parent case is an International Application which designated the U.S., or benefit of a prior provisional application is claimed, then check the following item and complete and attach ADDED PAGES FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF PRIOR U.S. APPLICA-TION(S) CLAIMED.

WARNING: If an application claims the benefit of the filing date of an earlier filed application under 35 U.S.C. §\$ 120, 121 or 365(c), the 20-year term of that application will be based upon the filing date of the earliest U.S. application that the application makes reference to under 35 U.S.C. §§ 120, 121 or 365(c). (35 U.S.C. § 154(a)(2) does not take into account, for the determination of the patent term, any application on which priority is claimed under 35 U.S.C. §§ 119, 365(a) or 365(b).) For a c-i-p application, applicant should review whether any claim in the patent that will issue is supported by an earlier application and, if not, the applicant should consider canceling the reference to the earlier filed application. The term of a patent is not based on a claim-by-claim approach. See Notice of April 14, 1995, 60 Fed. Reg. 20,195, at 20,205.

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WARNING	When the last day of pendency of a provisional application falls on a Saturday, Sunday, or Federal holiday within the District of Columbia, any nonprovisional application claiming benefit of the provisional application must be filed prior to the Saturday, Sunday, or Federal holiday within the District of Columbia. See 37 C.F.R. § 1.78(a)(3).
	The new application being transmitted claims the benefit of prior U.S. application(s). Enclosed are ADDED PAGES FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF PRIOR U.S. APPLICATION(S) CLAIMED.
3. Paper	rs Enclosed
	quired for filing date under 37 C.F.R. § 1.53(b) (Regular) or 37 C.F.R. § 1.153 sign) Application
<u>8</u> P	ages of specification
<u>3</u> P	ages of claims
1 s	heets of drawing
WARNING	DO NOT submit original drawings. A high quality copy of the drawings should be supplied when filing a patent application. The drawings that are submitted to the Office must be on strong, white, smooth, and non-shiny paper and meet the standards according to § 1.84. If corrections to the drawings are necessary, they should be made to the original drawing and a high-quality copy of the corrected original drawing then submitted to the Office. Only one copy is required or desired. For comments on proposed then-new 37 C.F.R. § 1.84, see Notice of March 9, 1988 (1990 O.G. 57-62).
in th oi	dentifying indicia, if provided, should include the application number or the title of the invention, ventor's name, docket number (if any), and the name and telephone number of a person to call if the Office is unable to match the drawings to the proper application. This information should be placed in the back of each sheet of drawing a minimum distance of 1.5 cm. (5/8 inch) down from the top of the page" 37 C.F.R. § 1.84(c)).
	(complete the following, if applicable)
	The enclosed drawing(s) are photograph(s), and there is also attached a "PETITION TO ACCEPT PHOTOGRAPH(S) AS DRAWING(S)." C7 C.F.R. § 1.84(b).
	formal
XX	informal
B. Oth	er Papers Enclosed
2 Pa	ages of declaration and power of attorney
_1 Pa	ages of abstract
0	ther
4. Additi	onal papers enclosed
	Amendment to claims
	☐ Cancel in this applications claims before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)
	Add the claims shown on the attached amendment. (Claims added have been numbered consecutively following the highest numbered original claims.)
K JK	Preliminary Amendment
🗆	Information Disclosure Statement (37 C.F.R. § 1.98)
	Form PTO-1449 (PTO/SB/08A and 08B)
	Citations

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	Dec	claration of Biological Deposit	
	per		nputer readable copy and/or amendment invention containing nucleotide and/or
] Aut tive		and Follow Instructions from Representa-
] Spe	ecial Comments	
] Oth	ner	
5. Dec	laratio	on or oath (including power of at	torney)
NOTE:	the price by all complicate the sign by a structured being to declarate person	ior nonprovisional application contained a de- or fewer than all the inventors named in the ation being filed, and a copy of the executed mature or an indication thereon that it was sig tatement requesting deletion of the names of filed. If the declaration in the prior applica- ation must be filed accompanied by a copy of the	continuation or divisional application provided that claration as required, the application being filed is e prior application, there is no new matter in the declaration filed in the prior application (showing med) is submitted. The copy must be accompanied person(s) who are not inventors of the application tion was filed under § 1.47, then a copy of that the decision granting § 1.47 status or, if a nonsigning prior application, then a copy of the subsequently §§ 1.63(d)(1)–(3).
NOTE:	is direct abbrevi country	cted, identify each inventor by full name includio viation together with any other given name or	t be executed, identify the specification to which it ing family name and at least one given name, without initial, and the residence, post office address and whether the inventor is a sole or joint inventor. 37
NOTE:	as pres as pres is that i this pa	scribed by § 1.62, except as provided for in scribed by § 1.63 is not filed during the pender inventorship set forth in the application papers	that inventorship set forth in the oath or declaration § 1.53(d)(4) and § 1.63(d). If an oath or declaration ncy of a nonprovisional application, the inventorship is filed pursuant to § 1.53(b), unless a petition under a § 1.17(i) is filed supplying or changing the name § 1.41(a)(1).
*	∄ End	closed	
	Exe	ecuted by	
		(check all applicab	ele boxes)
	Σk	inventor(s).	
		legal representative of inventor(s). 37 C.F.R. §§ 1.42 or 1.43.	
		joint inventor or person showing interest on behalf of inventor who re or cannot be reached.	• •
			d by 37 C.F.R. § 1.47 and the statement 1.47 is also attached. See item 13 below
] Not	t Enclosed.	
NOTE:	the U.S may be	 application contains subject matter in addit treated as a continuation or continuation-in 	ternational Application or where the completion of tion to the International Application, the application n-part, as the case may be, utilizing ADDED PAGE BENEFIT OF PRIOR U.S. APPLICATION CLAIMED.
		Application is made by a person a behalf of all the above named invo	authorized under 37 C.F.R. § 1.41(c) on entor(s).
			(New Application Transmittal [4-1]—page 4 of 11)

(Rel.80.—7/99 Pub.605) FORM 4-1 4-6

(The de	claration or oath, along with the surcharge required by 37 C.F.R. § 1.16(e) can be filed subsequently).
	Showing that the filing is authorized. (not required unless called into question. 37 C.F.R. § 1.41(d))
	orship Statement
WARNING:	If the named inventors are each not the inventors of all the claims an explanation, including the ownership of the various claims at the time the last claimed invention was made, should be submitted.
The inve	entorship for all the claims in this application are:
xΩ	The same.
	or
	Not the same. An explanation, including the ownership of the various claims at the time the last claimed invention was made,
	is submitted.
	☐ will be submitted.
7. Langu	age
Ai re	n application including a signed oath or declaration may be filed in a language other than English. In English translation of the non-English language application and the processing fee of \$130.00 quired by 37 C.F.R. § 1.17(k) is required to be filed with the application, or within such time as may a set by the Office. 37 C.F.R. § 1.52(d).
ΧX	English
	Non-English
	☐ The attached translation includes a statement that the translation is accurate. 37 C.F.R. § 1.52(d).
8. Assign	nment
XX	An assignment of the invention to Pace Micro Technology Plc.
	is attached. A separate ★☑ "COVER SHEET FOR ASSIGNMENT (DOCUMENT) ACCOMPANYING NEW PATENT APPLICATION" or ☐ FORM PTO 1595 is also attached.
	□ will follow.
NOTE: "I	f an assignment is submitted with a new application, send two separate letters-on e for the application nd one for the assignment." Notice of May 4, 1990 (1114 O.G. 77-78).
WARNING	A newly executed "CERTIFICATE UNDER 37 C.F.R. § 3.73(b)" must be filed:when a continuation-in-part application is filed by an assignee. Notice of April 30, 1993, 1150 O.G. 62-64.
	(New Application Transmittal: [4-1]—page 5 of 11)

Certif	ied copy(ies) of			_		0000
	GB		126.7		8 July	
Cou	ntry		Appin. No.		-	Filed
Cou	ntry		Appln. No.	* *** *********************************		Filed
Cou	ntry		Appln. No.			Filed
from w	nich priority is c	laimed				
] is (are) attac	hed.				
½	₃ will follow.					
NOTE:		ation forming the basi F.R. § 1.55(a) and 1.6		r priority must	be referred to	o in the oath o
NOTE:	U.S. application or § 120 is itself entit	y foreign priority for w International Applicati led to priority from a p APPLICATION TRANS	on from which thi rior foreign applic	s application cl ation, then con	aims benefit i aplete item 18	under 35 U.S.C 3 on the ADDED
10. Fe	e Calculation	(37 C.F.R. § 1.16)				
_	³ Regular app					
		CLAIN	AS AS FILED			
Ni	ımber filed	Num	ber Extra	Rate	37 C.F.F	ic Fee R. § 1.16(a) 60.00
Total			· · · · · · · · · · · · · · · · · · ·			, , , , , , , , , , , , , , , , , , ,
Claims	(37 C.F.R.					
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	dependent cla		^	Ψ 70.00		
	(37 C.F.R. § 1.1		+	\$260.00		
	Amendment	cancelling extra	claims is enclo	sed.		
E	Amendment	deleting multiple-	dependencies	is enclosed	i.	
[Fee for extra	a claims is not be	ing paid at th	is time.		
NOTE:	If the fees for extra prior to the expirat	claims are not paid on tition of the time periodiency. 37 C.F.R. § 1.1	filing they must be set for response	paid or the clai	and Tradema	nrk Office in any
		EW E	Calculation		\$ 7	'10

(New Application Transmittal [4-1]—page 6 of 11)

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Filing Fee Calculation

Filing Fee Calculation

B. Design application (\$310.00—37 C.F.R. § 1.16(f))

_	. –	,	Start and back	
C	· _	i	Plant application (\$480.00—37 C.F.R. § 1.16(g))	
			Filing fee calculation \$	
11.	Sm	ai	Il Entity Statement(s)	
		1	Statement(s) that this is a filing by a small entity under 37 C. is (are) attached.	F.R. § 1.9 and 1.27
			"Status as a small entity must be specifically established in each application the status is available and desired. Status as a small entity in one application affect any other application or patent, including applications or patent indirectly dependent upon the application or patent in which the status has refiling of an application under § 1.53 as a continuation, division, or continuation a continued prosecution application under § 1.53(d)), or the filing of a reis a new determination as to continued entitlement to small entity status for application. A nonprovisional application claiming benefit under 35 U.S.C 365(c) of a prior application, or a reissue application may rely on a state application or in the patent if the nonprovisional application or the reissure reference to the statement in the prior application or in the patent and status as a small desired. The payment of the small entity basic statutory filing fee will be treated in the prior application." 37 C.F.R. § 1.28(a)(2).	ation or patent does not to which are directly or as been established. The nuation-in-part (including usue application requires the continuing or reissue 2. § 119(e), 120, 121, or tement filed in the prior e application includes a includes a copy of the entity is still proper and atted as such a reference
W	ARNII	IG:	"Small entity status must not be established when the person or persons signan unequivocally make the required self-certification." M.P.E.P., § 509, 1996 (emphasis added).	gning the statement .03, 6th ed., rev. 2, July
			(complete the following, if applicable)	
			Status as a small entity was claimed in prior application	
			/, filed on,	from which benefit
			is being claimed for this application under:	
			35 U.S.C. § □ 119(e), □ 120,	
			☐ 121,	
			☐ 365(c),	
			and which status as a small entity is still proper and desi	red.
			☐ A copy of the statement in the prior application is inc	luded.
			Filing Fee Calculation (50% of A, B or C above)	
			\$	
NC		are	by excess of the full fee paid will be refunded if small entitiy status is established of filed within 2 months of the date of timely payment of a full fee. The two tendable under § 1.136. 37 C.F.R. § 1.28(a).	ed and a refund request vo-month period is not
12.	Red	que	est for International-Type Search (37 C.F.R. § 1.104(d))	
			(complete, if applicable)	
			Please prepare an international-type search report for this appuhen national examination on the merits takes place.	olication at the time

13. F	ee l	Payn	nent Being Made at This Time				
X	₩	Not	Enclosed				
			No filing fee is to be paid at this time. (This and the surcharge required by 37 C.F.R. § subsequently.)	1.16	(e)	can be	paid
х	X	Enc	losed				
		₽¥	Filing fee		\$ _	710	
		₹	Recording assignment (\$40.00; 37 C.F.R. § 1.21(h)) (See attached "COVER SHEET FOR ASSIGNMENT ACCOMPANYING NEW APPLICATION".)		\$ _	40	
			Petition fee for filing by other than all the inventors or person on behalf of the inventor where inventor refused to sign or cannot be reached (\$130.00; 37 C.F.R. §§ 1.47 and 1.17(i))		\$ _		·
			For processing an application with a specification in a non-English language (\$130.00; 37 C.F.R. §§ 1.52(d) and 1.17(k))		\$ -		
			Processing and retention fee (\$130.00; 37 C.F.R. §§ 1.53(d) and 1.21(l))		\$ _		
	ı		Fee for international-type search report (\$40.00; 37 C.F.R. § 1.21(e))		\$ _	<u> </u>	· · · · · · · · · · · · · · · · · · ·
NOTE	fa 37 eit	iling to C.F.I ther th	R. § 1.21(I) establishes a fee for processing and retaining any application pursuant to 37 C.F.R. § 1.53(I) and thing. §§ 1.53 and 1.78(a)(1), indicate that in order to obtain the benefic basic filling fee must be paid, or the processing and retention for year from notification under § 53(I).	s, as w	ell as prior 1.21	the char U.S. appl (I) must b	nges to ication,
			Total fees enclosed	\$	7	50	
			of Payment of Fees				
3	XX		ck in the amount of $$-750$			•	
		\$		in t	he	amour	nt of
		A di	uplicate of this transmittal is attached.				
NOTE		es sh 1,22(t	ould be itemized in such a manner that it is clear for which purpose)).	the fee	es are	e paid. 37	C.F.R.

(New Application Transmittal [4-1]—page 8 of 11)

15. Authorization to Charge Additional Fees

WARNING: If no fees are to be paid on filing, the following items should not be completed.

WARNING: Accurately count claims, especially multiple dependent claims, to avoid unexpected high charges, if extra claim charges are authorized.

- The Commissioner is hereby authorized to charge the following additional fees by this paper and during the entire pendency of this application to Account No. 08-1500:
 - ※ 37 C.F.R. § 1.16(a), (f) or (g) (filing fees)
 - xx 37 C.F.R. § 1.16(b), (c) and (d) (presentation of extra claims)
- NOTE: Because additional fees for excess or multiple dependent claims not paid on filing or on later presentation must only be paid or these claims cancelled by amendment prior to the expiration of the time period set for response by the PTO in any notice of fee deficiency (37 C.F.R. § 1.16(d)), it might be best not to authorize the PTO to charge additional claim fees, except possibly when dealing with amendments after final action.
 - 图 37 C.F.R. § 1.16(e) (surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application)
 - ★□ 37 C.F.R. § 1.17(a)(1)–(5) (extension fees pursuant to § 1.136(a)).
 - 習 37 C.F.R. § 1.17 (application processing fees)
- NOTE: ". . . A written request may be submitted in an application that is an authorization to treat any concurrent or future reply, requiring a petition for an extension of time under this paragraph for its timely submission, as incorporating a petition for extension of time for the appropriate length of time. An authorization to charge all required fees, fees under § 1.17, or all required extension of time fees will be treated as a constructive petition for an extension of time in any concurrent or future reply requiring a petition for an extension of time under this paragraph for its timely submission. Submission of the fee set forth in § 1.17(a) will also be treated as a constructive petition for an extension of time in any concurrent reply requiring a petition for an extension of time under this paragraph for its timely submission." 37 C.F.R. § 1.136(a)(3).
 - ☐ 37 C.F.R. § 1.18 (issue fee at or before mailing of Notice of Allowance, pursuant to 37 C.F.R. § 1.311(b))
- NOTE: Where an authorization to charge the issue fee to a deposit account has been filed before the mailing of a Notice of Allowance, the issue fee will be automatically charged to the deposit account at the time of mailing the notice of allowance. 37 C.F.R. § 1.311(b).
- NOTE: 37 C.F.R. § 1.28(b) requires "Notification of any change in status resulting in loss of entitlement to small entity status must be filed in the application . . . prior to paying, or at the time of paying, . . . the issue fee. . . " From the wording of 37 C.F.R. § 1.28(b), (a) notification of change of status must be made even if the fee is paid as "other than a small entity" and (b) no notification is required if the change is to another small entity.

(New Application Transmittal [4-1]—page 9 of 11)

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16. Instructions as to Overpayment

NOTE: ". . . Amounts of twenty-five dollars or less will not be returned unless specifically requested within a reasonable time, nor will the payer be notified of such amounts; amounts over twenty-five dollars may be returned by check or, if requested, by credit to a deposit account." 37 C.F.R. § 1.26(a).

★ Credit Account No. <u>08-1500</u>

□ Refund

Reg. No. 32,840

Tel. No. (918) 587-2000

Customer No. 24118

SIGNATURE OF PRACTITIONER

Mark G. Kachiqian

(type or print name of attorney)

228 West 17th Place

P.O. Address

Tulsa, Oklahoma 74119

(New Application Transmittal [4-1]—page 10 of 11)

	ı by	reference	of	added	pages
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(check the following item if the application in this transmittal claims the benefit of prior U.S. application(s) (including an international application entering the U.S. stage as a continuation, divisional or C-I-P application) and complete and attach the ADDED PAGES FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF PRIOR U.S. APPLICATION(S) CLAIMED)

χX	Plus Added Pages for New Application Transmittal Where Benefit of Prior U.S. Application(s) Claimed
	Number of pages added1
	Plus Added Pages for Papers Referred to in Item 4 Above
	Number of pages added
	Plus added pages deleting names of inventor(s) named in prior application(s) who is/are no longer inventor(s) of the subject matter claimed in this application
	Number of pages added
	Plus "Assignment Cover Letter Accompanying New Application"
	Number of pages added
State	ment Where No Further Pages Added
(if th	f no further pages form a part of this Transmittal, then end this Transmittal with his page and check the following item)
	This transmittal ends with this page.

18. Relate Back—35 U.S.C. § 119 Priority Claim for Prior Application

		0018426.7	28 July	7 2000
	Country	Appln. no.	Filed or	1
The cer	tified copy(ies) has	(have)		
	filed on		0 /	, which was
XX	is: (are) attached X	will follow		
	application in the ca application commun- a U.S. serial number u stage is not entered. prosecution of a con documents from the to to request transfer, re enter and make a rec the priority-document	nau may not be relied on without any continuing application. This is so be icated by the International Bureau is unless the national stage is entered. So Therefore, such certified copies matinuing application. An alternative we folders and transfer them to the continuine the folders, make suitable recovered of such copies in the Continuing this; in: folders of international application. Notice of April 28, 1987 (19	ecause the certified is placed in a folder	ed copy of the priority or and is not assigned cosed of if the national if needed later in the ally remove the priority the resources required for the certified copies, bstantial. Accordingly, at entered the national
9. Mai	ntenance of Co	pendency:of Prior Applic	cation	
re	he PTO finds it useful it esponse is filed with th ovember 5, 1985 (1060	f a copy of the petition filed in the e papers constituting the filing of 0.G. 27).	prior application e the continuation e	extending the term for application. Notice of
A. 🗆	Extension of time	in prior application		
(This		mpleted and the papers filed riod set in the prior applicati		application,
	A petition, fee and until	i response extends the term	in the pending	prior application
	C A some of the	e petition filed in prior applic	eation is attach	d
	A copy of the	a becuter, unea ur brier abbue	acion is accom	ea.
B. []	• • •	on for Extension of Time in F		
B. []	Conditional Petitio	•	Prior Application	
B. 🗆	Conditional Petitio	on for Extension of Time in F	Prior Application of applicable)	n: